

L

#3 0300

PATENT

Attorney's Docket No. 05288.P006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Patent Application of: )  
Rick Schober, et al ) Examiner: Unassigned  
Application No.: 09/977,515 ) Art Unit: Unassigned  
Filed: October 12, 2001 )  
For: APPARATUS AND METHODOLOGY )  
FOR AN INFINIBAND INPUT PORT )  
THAT SUPPORTS CUT-THROUGH )  
OPERATION )

Assistant Commissioner for Patents  
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 together with copies of the documents cited on that form. It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Assistant Commissioner for Patents, Washington, D. C. 20231 on November 9, 2001.

(Date of Deposit)

Janece Shannon  
(Typed or printed name of person mailing correspondence)

Janece Shannon 11-9-2001  
(Signature of person mailing correspondence)

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

- ☒ 37 C.F.R. §1.97(b).
- ☐ 37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is one of the following:
  - ☐ A statement pursuant to 37 C.F.R. §1.97(e) or
  - ☐ A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).
- ☐ 37 C.F.R. §1.97(d). If so, then enclosed with this Information Disclosure Statement are the following:
  - (1) A statement pursuant to 37 C.F.R. §1.97(e); and
  - (2) A check for \$180.00 for the fee under 37 C.F.R. §1.17(p) for submission of the Information Disclosure Statement.

If there are any additional charges, please charge Deposit Account No.  
02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: 11/8, 2001



---

Robert B. O'Rourke  
Reg. No. 46,972

12400 Wilshire Blvd.  
Seventh Floor  
Los Angeles, CA 90025-1026  
(408) 720-8300

Please type a plus sign (+) inside this box →



PTO/SB/08A (10-96)

Approved for use through 10/31/99. OMB 0651-0031  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

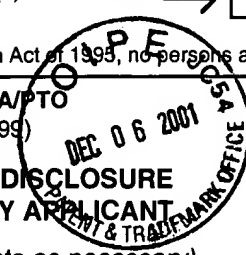


Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO  
(Modified by BSTZ 6/30/99)

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(use as many sheets as necessary)



## **Complete if Known**

<b>Applicant Number</b>	09/977,515
<b>Filing Date</b>	October 12, 2001
<b>First Named Inventor</b>	Rick Schober, et al.
<b>Group Art Unit</b>	Not yet assigned
<b>Examiner Name</b>	Not yet assigned
<b>Attorney Docket Number</b>	005288.P006

Sheet 1 of 2

## **U.S. PATENT DOCUMENTS**

Examiner Initials *	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Filing Date if Appropriate
		Number			
		5,615,161	Mu	3.25.1997	2.22.1996
		5,644,604	Larson	7.1.1997	11.14.1994
		5,740,346	Wicki, et al.	4.14.1998	2.22.1996
		5,768,300	Sastry, et al.	6.16.1998	2.22.1996
		5,838,684	Wicki, et al.	11.17.1998	2.22.1996
		5,892,766	Wicki, et al.	4.6.1999	2.22.1996
		5,931,967	Shimizu, et al.	8.3.1999	2.22.1996
		5,959,995	Wicki, et al.	9.28.1999	2.22.1996
		5,987,629	Sastry, et al.	11.16.1999	3.20.1998
		5,991,296	Mu, et al.	11.23.1999	2.22.1996

## **FOREIGN PATENT DOCUMENTS**


Examiner Initials *	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Translation? Yes/No
	Office or Country	Number	Date			

## **OTHER DOCUMENTS**

Examiner Initials *	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published (if known).	Translation? Yes/No
	InfiniBand Architecture Specification, General Specification, October 24, 2000, Pages 880, Volume 1.	

Examiner Signature	Date Considered
-----------------------	--------------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Please type a plus sign (+) inside this box → 

PTO/SB/08A (10-96)  
Approved for use through 10/31/99. OMB 0651-0031  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

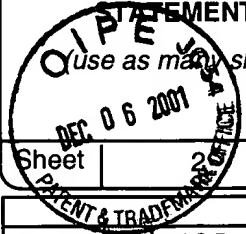


Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form **1449A/PTO**  
(Modified by BSTZ 6/30/99)

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

Use as many sheets as necessary)



**Complete if Known**

<b>Application Number</b>	09/977,515
<b>Filing Date</b>	October 12, 2001
<b>First Named Inventor</b>	Rick Schober
<b>Group Art Unit</b>	Not yet assigned
<b>Examiner Name</b>	Not yet assigned
<b>Attorney Docket Number</b>	005288.P006

Sheet 2 of 2

**U.S. PATENT DOCUMENTS**

Examiner Initials *	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Filing Date if Appropriate
	Number				
	6,003,064		Wicki, et al.	12.14.1999	2.22.1996

**FOREIGN PATENT DOCUMENTS**

Examiner Initials *	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Translation? Yes/No
	Office or Country	Number	Date			

**OTHER DOCUMENTS**

Examiner Initials *	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published (if known).	Translation? Yes/No

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.